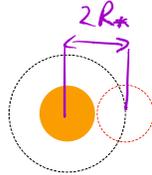


# Galactic Dynamics

- **Globular clusters** are smooth round groups of stars within a galaxy
- We are interested in finding the mass density  $\rho(r)$ 
  - ↳ measure surface brightness  $\mu(r)$
  - ↳ use **M/L** relationship to find surface mass density  $\Sigma(r)$
  - ↳ assume spherical symmetry to find  $\rho(r)$
- There are several important radii to describe galaxies:
  - ↳ **core radius**  $R_c$  over which  $\rho \sim \text{constant}$ .
  - ↳ **median radius**  $R_h$  containing half the light (2D)
  - ↳ **tidal radius**  $R_t$ , for which  $\mu \rightarrow 0$
- For a collision to occur, there will be one star in the collision volume  $\pi(2R_*)^2 v t_{\text{coll}}$ 
  - ↳ i.e. star density  $n_0 = \frac{1}{\pi(2R_*)^2 v t_{\text{coll}}}$
  - ↳ collision time is then:  $t_{\text{coll}} = \frac{1}{4\pi R_*^2 v n_0}$
  - ↳ sufficiently low that we can assume globular clusters are collisionless.
- **Open clusters** contain fewer, younger stars and are much smaller than globular clusters.
- Galaxies themselves form clusters.



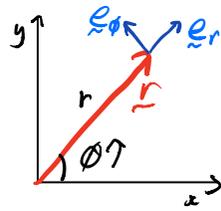
# Orbits

- The goal is to find a self-consistent potential:  $\rho(r)$  implied by the orbits should give rise to  $\phi$  that causes the observed orbits.
  - ↳ if we have many objects,  $\phi$  is approx. smooth
  - ↳ we can average over the orbits and treat both  $\rho$  and  $\phi$  as having spatial dependence only.
- The gravitational force per unit mass is  $\underline{f} = -\frac{GM}{r^2} \hat{r}$ 
  - ↳  $\underline{f} = -\nabla\phi$ ,  $\phi = -\frac{GM}{|\underline{r}-\underline{r}_1|}$  for a mass at  $\underline{r}_1$
- **NI**:  $\underline{E} = m\ddot{\underline{r}} = -m\nabla\phi$ 

$$\underline{J} = \underline{r} \times (m\dot{\underline{r}}) \Rightarrow \underline{G} = \underline{J}$$
- The total energy is constant for a given orbit:
  - ↳  $T = \frac{1}{2} m \dot{\underline{r}} \cdot \dot{\underline{r}} \Rightarrow \dot{T} = \underline{F} \cdot \dot{\underline{r}} = -m \dot{\underline{r}} \cdot \nabla\phi$
  - ↳ but  $\frac{d}{dt}\phi(\underline{r}) = \dot{\underline{r}} \cdot \nabla\phi$  by the chain rule
  - ⇒  $\dot{T} = -m \dot{\phi}$
  - ⇒  $\frac{d}{dt}(T + m\phi(\underline{r})) = 0$
  - ∴  $\underline{E} = \frac{1}{2} m \dot{\underline{r}} \cdot \dot{\underline{r}} + m\phi(\underline{r})$
- Further, for a central force field, angular momentum is a constant vector so the plane of orbit doesn't change.
 
$$\underline{J} = \underline{r} \times \underline{F} = -m \frac{d\phi}{dr} \underline{r} \times \hat{r} = \underline{0}$$
  - ↳ this reduces orbital problems to 2D.

Consider the dynamics in plane polars:

↳ For general motion,  $r$  and  $\phi$  are changing with  $\phi$ , hence so are  $\hat{e}_r$  and  $\hat{e}_\phi$ .



↳ but the unit vectors can only change orthogonal to themselves



↳ the velocity can be derived directly by geometry

$$\underline{r} = r \hat{e}_r + \dot{\phi} \hat{e}_\phi \Rightarrow d\underline{r} = r d\hat{e}_r + \dot{\phi} d\hat{e}_\phi + dr \hat{e}_r + r d\phi \hat{e}_\phi$$

$$\Rightarrow \underline{\dot{r}} = \dot{r} \hat{e}_r + r \dot{\phi} \hat{e}_\phi$$

radial      tangential

Acceleration in plane polars is given by:

$$\underline{\ddot{r}} = (\ddot{r} - r\dot{\phi}^2) \hat{e}_r + (2\dot{r}\dot{\phi} + r\ddot{\phi}) \hat{e}_\phi$$

↳ the radial term includes the centrifugal force

↳ the transverse term =  $\frac{1}{r} \frac{d}{dt}(r^2 \dot{\phi})$  angular momentum per unit mass

To find the path of the orbit we need to remove  $t$  then find  $r(\phi)$ .

↳  $J/m \equiv h = r^2 \dot{\phi}$  and let  $u \equiv 1/r$

$$\underline{\dot{r}} = -\frac{1}{r^2} \dot{u} = -\frac{1}{r^2} \frac{du}{d\phi} \dot{\phi} = -h \frac{du}{d\phi} \Rightarrow \ddot{r} = -h^2 u^2 \frac{d^2 u}{d\phi^2}$$

↳ we can then rewrite the radial equation of motion:

$$f_r = \ddot{r} - r\dot{\phi}^2 = -h^2 \frac{d^2 u}{d\phi^2} - \frac{1}{u} h^2 u^4$$

$$\Rightarrow \frac{d^2 u}{d\phi^2} + u = -\frac{f_r}{h^2 u^2} = \frac{GM}{h^2}$$

← the orbit equation in a spherical potential

↳  $f_r$  is a function of  $u$ , so we are done.

### Kepler orbits

The solution to the orbit equation is:

$$\frac{L}{r} = 1 + e \cos(\phi - \phi_0)$$

↳  $L = h^2/GM$ ,  $e$  and  $L$  are integration constants

↳ If  $e < 1$ ,  $r$  is bounded and the path is an ellipse

$$\frac{L}{1+e} < r < \frac{L}{1-e}$$

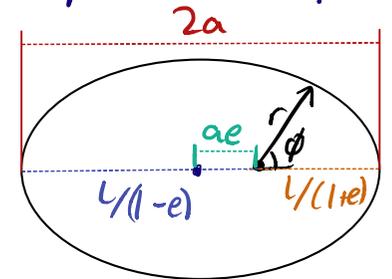
$$\frac{L}{1+e} + \frac{L}{1-e} = 2a$$

$$\Rightarrow L = a(1-e^2)$$

↳  $a$  is the semimajor axis

$$\boxed{h^2 = GMa(1-e^2)}$$

↳ the energy per unit mass is  $E = \frac{1}{2} \dot{r}^2 + \frac{1}{2} r^2 \dot{\phi}^2 - \frac{GM}{r}$  and can be evaluated anywhere since it is constant



$$e^2 = 1 - \frac{b^2}{a^2}$$

↳ at the periastris,  $\dot{r}=0$ ,  $\dot{\phi} = \frac{h}{r^2} \Rightarrow E = \frac{-GM}{2a}$

• Kepler's laws can be deduced:

1. Orbits are ellipses with the Sun at a focus
2. Planets sweep equal areas in equal time:

$$\frac{dA}{dt} = \frac{1}{2} r^2 \dot{\phi} = \frac{h}{2} = \text{const (for central forces)}$$

$$3. T^2 \propto a^3: \frac{\Delta A}{\Delta t} = \frac{h}{2} \Rightarrow T = \frac{2A}{h} = \frac{2\pi ab}{h}$$

$$\therefore T = \frac{2\pi a \cdot a\sqrt{1-e^2}}{\sqrt{GMa(1-e^2)}} = 2\pi \sqrt{\frac{a^3}{GM}}$$

### Unbound orbits

• If  $e > 1$ , the orbit is **unbound** (i.e.  $E > 0$ )

• The angles  $\phi_\infty$  s.t.  $r \rightarrow \infty$  are given by

$$\cos \phi_\infty = -1/e$$

↳ if  $e > 1$ ,  $\frac{\pi}{2} < \phi_\infty < \pi$   $\therefore$  orbit is a hyperbola

↳ if  $e = 1$ ,  $\phi_\infty = \pm\pi$   $\therefore$  orbit is a parabola.

• As  $r \rightarrow \infty$ ,  $E \rightarrow \frac{1}{2} \dot{r}^2$

$$\begin{aligned} \hookrightarrow \frac{1}{r} = 1 + e \cos \phi &\Rightarrow -\frac{1}{r^2} \dot{r} = -e \sin \phi \dot{\phi} \\ &\Rightarrow \dot{r} = \frac{eh}{r} \sin \phi \quad \text{using } h = r^2 \dot{\phi} \end{aligned}$$

$$\hookrightarrow \text{so } E \rightarrow \frac{GM}{2L} (e^2 - 1) \text{ as } r \rightarrow \infty$$

• If at some point  $\underline{r}_0$  the particle has velocity  $\underline{v}_0$  such that  $\frac{1}{2} \underline{v}_0^2 + \phi(\underline{r}_0) > 0$ , the particle is able to escape to infinity

↳ the **escape velocity** is then  $v_{esc} = \sqrt{-2\phi(\underline{r}_0)}$

### Binary star systems

• With 2 masses,  $\phi$  is no longer fixed at the origin

$$\phi(\underline{r}) = -\frac{GM_1}{|\underline{r}-\underline{r}_1|} - \frac{GM_2}{|\underline{r}-\underline{r}_2|}$$

↳ let  $\underline{d} = \underline{r}_1 - \underline{r}_2$

$$M_1 \ddot{\underline{r}}_1 = -\frac{GM_1 M_2}{d^2} \hat{\underline{d}}, \quad M_2 \ddot{\underline{r}}_2 = -\frac{GM_1 M_2}{d^2} (-\hat{\underline{d}})$$

$$\Rightarrow \underline{\ddot{d}} = \ddot{\underline{r}}_1 - \ddot{\underline{r}}_2 = -\frac{G(M_1 + M_2)}{d^2} \hat{\underline{d}}$$

↳ equivalent to if we had point mass  $M_1 + M_2$  at origin (which we know produces elliptical orbits).

$$T = 2\pi \sqrt{\frac{a^3}{G(M_1 + M_2)}} \quad \leftarrow a \text{ is max separation}$$

• We choose a frame where the COM is stationary

$$\underline{r}_{cm} = 0, \quad \dot{\underline{r}}_{cm} = 0 \Rightarrow \underline{r}_1 = \frac{M_2}{M_1 + M_2} \underline{d}, \quad \underline{r}_2 = -\frac{M_1}{M_1 + M_2} \underline{d}$$

$$\hookrightarrow \underline{J} = \sum_{i=1,2} M_i \underline{r}_i \times \dot{\underline{r}}_i = \frac{M_1 M_2}{M_1 + M_2} \underline{d} \times \dot{\underline{d}} = \mu \underline{h}$$

$\uparrow$  reduced mass

General orbits under radial force ← more general than spherical

$$\frac{d^2 u}{d\phi^2} + u = -\frac{f_r}{h^2 u^2}, \quad f_r = -\frac{d\Phi}{dr} = u^2 \frac{d\Phi}{du}$$

- There are unbound orbits, with  $r \rightarrow \infty$  for  $\phi \rightarrow \phi_0$
- For bound orbits,  $r$  oscillates between finite limits.

$$\frac{d^2 u}{d\phi^2} + u + \frac{1}{h^2} \frac{d\Phi}{du} = 0 \quad \times \frac{du}{d\phi}$$

$$\Rightarrow \frac{d}{d\phi} \left[ \frac{1}{2} \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} u^2 + \frac{\Phi}{h^2} \right] = 0$$

$$\therefore \frac{1}{2} \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} u^2 + \frac{\Phi}{h^2} = \text{const} = \frac{E}{h^2} \quad \left. \begin{array}{l} \text{dimensional} \\ \text{analysis.} \end{array} \right\}$$

$$E = \frac{1}{2} h^2 \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} h^2 u^2 + \Phi(r)$$

$$E = \frac{1}{2} \dot{r}^2 + \frac{1}{2} r^2 \dot{\phi}^2 + \Phi(r)$$

$$E = \frac{1}{2} \dot{r}^2 + \frac{h^2}{2r^2} + \Phi(r)$$

↳ the apsides are found by  $\frac{du}{d\phi} = 0$  or  $\dot{r} = 0$ .

$$E = \frac{1}{2} h^2 u^2 + \Phi \Rightarrow u^2 = \frac{2(E - \Phi)}{h^2} \quad \leftarrow \text{quadratic}$$

↳  $u_1 = \frac{1}{r_1}$ ,  $u_2 = \frac{1}{r_2}$ ,  $r_1 < r_2$  WLOG.

↳  $r_1$  is the pericentre,  $r_2$  is the apocentre

• The **radial period**  $T_r$  is the time for  $r_2 \rightarrow r_1 \rightarrow r_2$

$$\dot{r} = \pm \sqrt{2(E - \Phi) - \frac{h^2}{r^2}}$$

$$T_r = \oint dt = 2 \int_{r_1}^{r_2} \frac{dt}{dr} dr = 2 \int_{r_1}^{r_2} \frac{dr}{\sqrt{2(E - \Phi) - h^2/r^2}}$$

Precession

- The orbit may have azimuthal motion. This can be found by seeing how  $\phi$  changes during a period

$$\Delta\phi = \oint d\phi = 2 \int_{r_1}^{r_2} \frac{d\phi}{dr} dt dr = 2h \int_{r_1}^{r_2} \frac{dr}{r^2 \sqrt{2(E - \Phi) - h^2/r^2}}$$

↳  $\Delta\phi \neq 2\pi$  in one period, so there is a mismatch between the radial period and **azimuthal period** (time to go around)

↳ define the **mean angular velocity** as  $\bar{\omega} = \Delta\phi / T_r$  and

$$\text{the mean azimuthal period: } T_\phi = \frac{2\pi}{\bar{\omega}} = \frac{2\pi}{\Delta\phi} T_r$$

↳ if  $\frac{\Delta\phi}{2\pi}$  is irrational, the orbit is not closed/periodic.

↳ for a Keplerian orbit,  $\Delta\phi = 2\pi \Rightarrow T_r = T_\phi$

- In one radial period, the apocentre advances by angle  $\Delta\phi - 2\pi$  so the 'major axis' rotates at the **mean precession rate**

$$\Omega_p = \frac{\Delta\phi - 2\pi}{T_r}$$



↳ precession is in a sense opposite to the rotation of the star.

↳ no precession for Keplerian orbits

# Poisson's Equation

- Relates  $\rho(r)$  to  $\Phi(r)$ :  $\Phi(r) = -\iiint \frac{G\rho(r')d^3r'}{|r-r'|}$
- $\hookrightarrow \nabla^2\Phi(r) = -G\iiint \rho(r') \nabla^2\left(\frac{1}{|r-r'|}\right) d^3r'$
- $\hookrightarrow$  but  $\nabla^2\left(\frac{1}{|r-r'|}\right) = -4\pi\delta(r-r') \Rightarrow \boxed{\nabla^2\Phi(r) = 4\pi G\rho(r)}$

- If we integrate over any volume  $V$  containing a mass  $M$ , we get Gauss' Theorem:  $\boxed{\int_S \nabla\phi \cdot \hat{n} dS = 4\pi GM}$

- In spherical systems,  $\nabla^2\phi(r) = \frac{1}{r} \frac{d}{dr} \left( r^2 \frac{d\phi}{dr} \right)$
- $\hookrightarrow$  finding  $\Phi$  from  $\rho$  is just a matter of solving the differential equation with appropriate B.Cs.
- $\hookrightarrow$  Newton's gravity is linear so we can construct systems by superposition, e.g. shell = sphere 1 - sphere 2.
- $\hookrightarrow$  inside a shell,  $\Phi = \text{const}$ . Outside a shell, we have  $\Phi = -GM_{\text{shell}}/r$  (point particle)
- $\hookrightarrow$  arbitrary spherical density distr. can be analysed by integrating many shells.

$$\Phi(r) = -4\pi G \left[ \underbrace{\frac{1}{r} \int_0^r r'^2 \rho(r') dr'}_{\Phi = -\frac{GM_{\text{enc}}}{r}} + \underbrace{\int_r^\infty r' \rho(r') dr'}_{\Phi = \frac{GM_{\text{ext}}}{r} = \text{const.}} \right]$$

## Galaxy profiles

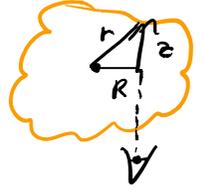
- Assume a galaxy has a spherical luminosity density  $j(r) = j_0 \left(1 + \left(\frac{r}{a}\right)^2\right)^{-3/2}$

$\hookrightarrow$  the **surface brightness** is the projection of this onto the plane of the sky:

$$I(R) = 2 \int_0^\infty j(z) dz, \quad r^2 = R^2 + z^2$$

$$= 2j_0 \int_0^\infty \left[1 + \left(\frac{R}{a}\right)^2 + \left(\frac{z}{a}\right)^2\right]^{-3/2} dz$$

$$= 2j_0 \frac{a^3}{a^2 + R^2} \int_0^\infty \frac{dy}{(1+y^2)^{3/2}} \quad \text{with } y = \frac{z}{\sqrt{a^2 + R^2}}$$



$$\therefore I(R) = \frac{2j_0 a}{1 + R^2/a^2} \leftarrow \text{modified Hubble profile}$$

- $\hookrightarrow$  this is a good fit for elliptical galaxies, so our initial guess for luminosity density is reasonable.
- $\hookrightarrow$  assume density  $\propto$  luminosity,  $\rho(r) = \rho_0 \left(1 + \left(\frac{r}{a}\right)^2\right)^{-3/2}$
- $\hookrightarrow \Phi$  could be calc'd from Poisson, but this density profile leads to diverging mass.
- A power law density profile  $\rho(r) = \rho_0 \left(\frac{r}{r_0}\right)^\alpha$  explains more observations, but also has infinite mass.
- In fact, it is possible to "de-project" the projected density to a spherically-symmetric 3D density
- $\hookrightarrow$  as above, projected from actual density given by:

$$I(R) = 2 \int_0^\infty j(z) dz = 2 \int_R^\infty \frac{j(r) r dr}{\sqrt{r^2 - R^2}}$$

↳ can be inverted to give  $j'(r) = -\frac{1}{2\pi r} \frac{d}{dr} \int_r^\infty \frac{I(R) R dR}{\sqrt{R^2 - r^2}}$   
 (an **Abel Integral equation**)

Nearly circular orbits

- For a circular orbit,  $r=R=const$ ,  $\dot{\phi} = \Omega = const$   
 ↳  $\ddot{r} - r\dot{\phi}^2 = -\frac{d\Phi}{dr} \Rightarrow R\Omega^2 = \frac{d\Phi}{dr}$   
 $\Rightarrow \Omega = \sqrt{GM/R^3}$  and  $T = 2\pi \sqrt{\frac{R^3}{GM}}$
- For a near-circular orbit,  $r = R + \epsilon(t)$ ,  $\epsilon \ll R$  and  $\dot{\phi} = \Omega + \omega(t)$ ,  $\omega \ll \Omega$   
 ↳  $h = R^2\Omega$  must be the same. Expand to first order:  
 $R^2\Omega = R^2\Omega + 2R\epsilon\Omega + R^2\omega \Rightarrow R\omega = -2\epsilon\Omega$   
 ↳  $\ddot{r} - r\dot{\phi}^2 = f(r) \Rightarrow \ddot{\epsilon} - (R+\epsilon)(\Omega^2 + 2\epsilon\omega) = f(R+\epsilon)$   
 $\Rightarrow \ddot{\epsilon} + (3\Omega^2 - f'(R))\epsilon = 0$   
 ↳ this is SHM provided  $3\Omega^2 - f'(R) > 0$ , or equivalently  $n < 3$  if  $f(R) \propto -R^{-n}$   
 ↳ the particle thus has radial oscillation at the **epicyclic frequency**  $k^2 \equiv \Omega_R^2 = 3\Omega^2 - f'(R)$   
 ↳ equivalent to an ellipse precessing at rate  $\Omega_p = \Omega - \Omega_R$

Near-circular orbits in Axisymmetric potentials



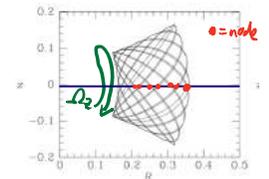
- Real density distributions are more often axisymmetric vs spherical.  
 ↳ we use cylindrical coordinates:  $\rho = \rho(R, z)$ ,  $\Phi = \Phi(R, z)$   
 ↳  $E = (-\frac{\partial\Phi}{\partial R}, 0, -\frac{\partial\Phi}{\partial z})$

$\Rightarrow \ddot{r} - R\dot{\phi}^2 = -\frac{\partial\Phi}{\partial R}$ ,  $R^2\dot{\phi} = L_z = const$ ,  $\ddot{z} = -\frac{\partial\Phi}{\partial z}$   
 ↳ we can remove the  $\dot{\phi}$  term and write the equations in terms of  $\Phi_{eff}$ , reducing to a 2D problem  
 $\ddot{r} = -\frac{\partial\Phi_{eff}}{\partial R}$ ,  $\Phi_{eff} = \Phi + \frac{L_z^2}{2R^2}$   
 $\ddot{z} = -\frac{\partial\Phi_{eff}}{\partial z}$

- For a system with **plane symmetry**  $\Phi(R, z) = \Phi(R, -z)$ , we can find near-circular orbits:  $z=0$ ,  $R=R_c=const$ ,  $\dot{\phi} = \Omega = const$   
 ↳  $\ddot{R} = 0 \Rightarrow \frac{\partial\Phi}{\partial R} = L_z^2/R^3 \Rightarrow \Omega_c^2 = \frac{1}{R} \frac{\partial\Phi}{\partial R} \Big|_{R=R_c}$   
 ↳ for small deviations  $R=R_c+x$ ,  $z=z$ ,  $x, z \ll R_c$ , we Taylor expand, noting that at  $z=z=0$  we have  $\frac{\partial\Phi_{eff}}{\partial z} = 0$  and  $\frac{\partial\Phi_{eff}}{\partial R} = 0$   
 $\Phi_{eff}(R_c+x, 0+z) = \Phi_{eff}(R_c, 0) + \frac{1}{2}x^2 \frac{\partial^2\Phi_{eff}}{\partial R^2} \Big|_{(R_c, 0)} + \frac{1}{2}z^2 \frac{\partial^2\Phi_{eff}}{\partial z^2} \Big|_{(R_c, 0)}$   
 ↳  $\ddot{R} = -\frac{\partial\Phi_{eff}}{\partial R} \Rightarrow \ddot{x} = -\frac{\partial\Phi_{eff}}{\partial x} = -x \frac{\partial^2\Phi_{eff}}{\partial R^2} \Big|_{(R_c, 0)}$   
 ↳  $\ddot{z} = -\frac{\partial\Phi_{eff}}{\partial z} = -z \frac{\partial^2\Phi_{eff}}{\partial z^2} \Big|_{(R_c, 0)}$

↳ we can rewrite this as 2 SHM equations with an **epicyclic frequency** and **vertical frequency**:  
 $\ddot{x} = -k^2x$ ,  $k^2 = \frac{\partial^2\Phi}{\partial R^2} \Big|_{(R_c, 0)} + \frac{3L_z^2}{R_c^4}$   
 $\ddot{z} = -\gamma^2z$ ,  $\gamma^2 = \frac{\partial^2\Phi}{\partial z^2} \Big|_{(R_c, 0)}$

- Hence there is both radial precession at  $\Omega_p = \Omega - k$  and **nodal precession** at  $\Omega_z = \Omega - \gamma$   
 ↳ **nodes** are the points at which the orbit crosses  $z=0$  upwards



# Axisymmetric Potentials

Spherical  
Coords  
↓

• Axisymmetric  $\Rightarrow \Phi = \Phi(r, \theta)$

• In the vacuum,  $\nabla^2 \Phi = 0$ . If we assume a separable form  $\Phi = R(r) \Theta(\theta)$ , we get:

$$\frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) + \frac{1}{\Theta \sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) = 0$$

$$\Rightarrow \frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) = n(n+1)$$

$$\frac{d}{d\mu} \left[ (1-\mu^2) \frac{d\Theta}{d\mu} \right] + n(n+1)\Theta = 0, \quad \mu = \cos \theta \quad \leftarrow \text{Legendre's Equation.}$$

• The radial solution is  $R(r) = Ar^n + \frac{B}{r^{n+1}}$ , while the angular equation is solved by Legendre polynomials  $P_n(x)$

$$P_0(x) = 1 \quad P_1(x) = x \quad P_2(x) = \frac{1}{2}(3x^2 - 1)$$

$$(n+1)P_{n+1}(x) = (2n+1)xP_n(x) - nP_{n-1}(x)$$

$\hookrightarrow P_n(x)$  is oscillatory and forms an orthogonal complete set.

$$\int_{-1}^1 P_n(x) P_m(x) dx = \frac{2}{2n+1} \delta_{nm}$$

$\hookrightarrow$  can generate with the Rodrigues formula:  $P_n(x) = \frac{1}{2^n n!} \frac{d^n}{dx^n} [(x^2-1)^n]$

• Outside an axisymmetric body,  $R(r) = \frac{B}{r^{n+1}}$ . For objects symmetric in  $\theta = \pi/2$  ( $z=0$ ), the odd  $P_n(x)$  disappear

$$\Phi(r, \theta) = \sum_{k=0}^{\infty} \frac{B_{2k} P_{2k}(\cos \theta)}{r^{2k+1}} = -\frac{GM}{r} + \frac{J_2}{r^3} \frac{1}{2}(3\cos^2 \theta - 1) + \frac{J_4}{r^5} P_4(\cos \theta) \dots$$

$\hookrightarrow$  for near-spherical bodies, these  $J$  terms are perturbations

• We can sometimes find coefficients  $A_n$  and  $B_n$  by writing an expression for  $\Phi$  somewhere easy (eg on-axis).  
 $\hookrightarrow$  e.g for a ring of matter,  $\Phi(z) = -GM/(a^2+z^2)^{1/2}$ . We can expand in small  $z$  then match terms

$$\hookrightarrow \text{gives } \Phi(R, z) \approx -\frac{GM}{a} \left[ 1 - \frac{1}{4a^2} (2z^2 - R^2) + \dots \right]$$

$\hookrightarrow$  this potential can be applied to the Earth-Moon system, treating the solar potential as ring-like.

## Axisymmetric potentials in cylindrical coordinates

• Consider a thin disk of mass in cylindrical coordinates and seek separable solutions to Poisson's eq:  $\Phi(R, z) = J(R) Z(z)$

$$\hookrightarrow \frac{d^2 Z}{dz^2} - k^2 Z = 0 \Rightarrow Z(z) = Ae^{kz} + Be^{-kz}. \text{ For finite potentials, } Z(z) = Ae^{-k|z|}$$

$\hookrightarrow$  the radial equation is solved with a Bessel function

$$\frac{1}{R} \frac{d}{dR} \left( R \frac{dJ}{dR} \right) + k^2 J = 0 \Rightarrow J(R) = J_0(kR), Y_0(kR)$$

$\hookrightarrow$  equivalent of SHM in cylindrical coords.

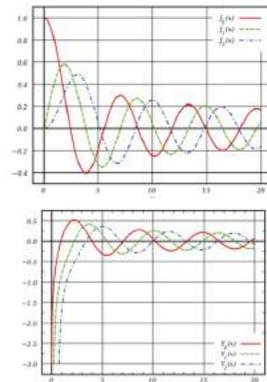
$$\hookrightarrow \Phi_k = e^{-k|z|} J_0(kR) \quad \leftarrow Y_0 \text{ diverges at } R=0$$

$\hookrightarrow$  the general solution is  $\Phi(R, z) = \int_0^{\infty} f(k) e^{-k|z|} J_0(kR) dk$  where  $f(k)$  is determined by the mass distribution.

- Bessel functions of the first/second kind,  $J_\nu(kr)$  and  $Y_\nu(kr)$ , solve the ODE:

$$\frac{1}{s} \frac{d}{ds} \left( s \frac{dy}{ds} \right) + (k^2 - \frac{\nu^2}{s^2}) y = 0$$

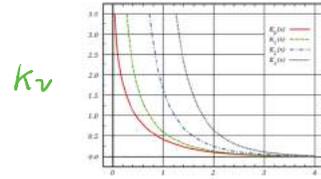
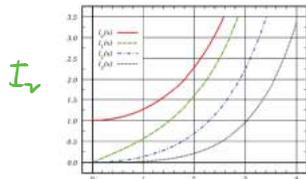
- $J_\nu(0) = 0$  except for  $J_0(0) = 1$
- $Y_\nu(0) \rightarrow -\infty$  for all  $\nu$
- solutions are oscillatory, 'like' sin and cos.



- Modified Bessel functions have  $-k^2 y$  instead of  $+k^2 y$ :

$$\frac{1}{s} \frac{d}{ds} \left( s \frac{dy}{ds} \right) - (k^2 - \frac{\nu^2}{s^2}) y = 0 \rightarrow I_\nu(kr), K_\nu(kr)$$

- solutions are like cosh and sinh.



- By analogy to Fourier transforms, we have Hankel transforms with  $J, Y$  as the basis:

$$\tilde{g}(k) = \int_0^\infty g(r) J_\nu(kr) r dr$$

$$g(r) = \int_0^\infty \tilde{g}(k) J_\nu(kr) k dk \quad \leftarrow \text{inverse}$$

- To find the weighting function, we can construct a Gaussian surface:

$$\int_V 4\pi G \rho dV = \int_V \nabla^2 \Phi dV = \int_S \nabla \Phi \cdot \hat{n} dS$$

$$\Rightarrow 4\pi G \Sigma(R) = \left[ \frac{\partial \Phi}{\partial z} \right]_0^+$$

$$\Rightarrow \Sigma(R) = -\frac{1}{2\pi G} \int_0^\infty f(k) J_0(kR) k dk \quad \leftarrow \text{inverse Hankel}$$

$$\Rightarrow f(k) = -2\pi G \int_0^\infty \Sigma(R) J_0(kR) R dR$$

- The circular velocity in the plane is  $v_c^2(R) = \frac{\partial \Phi}{\partial R} \Big|_{z=0}$

$$\hookrightarrow \frac{d}{dx} [x^m J_m(x)] = x^m J_{m-1}(x)$$

$$\Rightarrow v_c^2(R) = - \int_0^\infty f(k) J_1(kR) k dk$$

- e.g. Mestel Disk:  $\Sigma(R) = \frac{\Sigma_0 R_0}{R}$

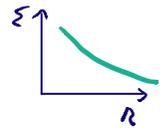
$$M(<R) = \int_0^R 2\pi \Sigma(R') R' dR' = 2\pi \Sigma_0 R_0 R$$

$$f(k) = -2\pi G \Sigma_0 R_0 \int_0^\infty J_0(kR) dR$$

$$\Rightarrow \Phi(R, z) = -2\pi G \Sigma_0 R_0 \int_0^\infty e^{-k|z|} \frac{J_0(kR)}{k} dk$$

$$v_c^2(R) = 2\pi G \Sigma_0 R_0 \int_0^\infty J_1(kR) dk = 2\pi G \Sigma_0 R_0 = \text{const}$$

$$\therefore v_c^2(R) = \frac{GM(R)}{R} \leftarrow \text{same as sphere.}$$



### Oort constants

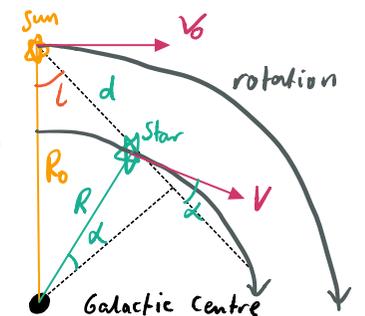
- We model the Milky Way as having stars in circular orbits with  $v(R) = R\Omega(R)$

- The radial velocity of the star, seen from the earth, is  $v_r = v \cos \alpha - v_0 \sin l$

$$\hookrightarrow \text{geometry gives } v_r = \left( \frac{v}{R} - \frac{v_0}{R_0} \right) R_0 \sin l$$

- for nearby stars,  $R_0 - R \approx d \cos l$
- expanding  $\frac{v}{R}$  about  $R_0$ , we get

$$\Rightarrow v_r = A d \sin 2l$$



↳  $A$  is the **Oort constant** =  $-\frac{R_0}{2} \frac{d}{dR} \left( \frac{v}{R} \right) \Big|_{R_0} \overset{\text{coefficient}}{=} \frac{1}{2} \left( \frac{v_0}{R_0} - \frac{dv}{dR} \Big|_{R_0} \right)$

↳  $A$  can be determined experimentally by measuring  $\frac{v}{R}$  as a function of  $l$ .

• The tangential velocity (seen from earth) is  $v_T = v \sin \alpha - v_0 \cos l$

↳ geometry gives  $v_T = \left( \frac{v}{R} - \frac{v_0}{R_0} \right) R_0 \cos l - \frac{v}{R} d$   
 $\approx -R_0 \frac{d}{dR} \left( \frac{v}{R} \right) \Big|_{R_0} \cdot d \cdot \cos^2 l - \frac{v}{R} d$

↳ define  $v_T \approx d(A \cos 2l) + B \Rightarrow B = -\frac{1}{2} \left[ \frac{v_0}{R_0} + \frac{dv}{dR} \Big|_{R_0} \right]$

• Oort constants  $A, B$  can be written in terms of  $\Omega$

↳  $A$  measures the **shear** - deviation from 'rigid body'.

$A = -\frac{R_0}{2} \frac{d}{dR} \left( \frac{v}{R} \right) \Big|_{R_0} \Rightarrow A = -\frac{1}{2} R_0 \frac{d\Omega}{dR} \Big|_{R_0}$

↳  $B$  measures the **vorticity** - tendency of material to circulate due to differential rotation:

$B = \left( -\Omega + \frac{1}{2} R_0 \frac{d\Omega}{dR} \right) \Big|_{R_0}$

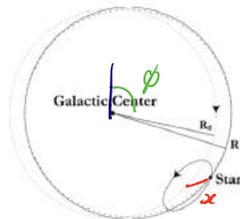
↳  $\Omega_0 = \frac{v_0}{R_0} = A - B$ ,  $\frac{dv}{dR} \Big|_{R_0} = -(A+B)$

• The epicyclic frequency is given by  $K^2 = R \frac{d\Omega^2}{dR} + 4\Omega^2$   
 $\Rightarrow k_0 = \sqrt{-4B(A+B)}$

• We can find the frequencies by comparing the observed velocities with the relative velocities assuming circular motion

$x \equiv R - R_0$ ,  $x(t) = X \cos(Kt + \alpha)$

$\frac{\langle (v_0 - v_c(R_0))^2 \rangle}{\langle v_c^2 \rangle} \approx -\frac{B}{A-B} = \frac{K_0^2}{4\Omega_0^2}$



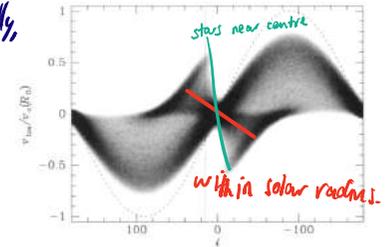
### The Rotation Curve of our Galaxy

- Neutral H has a 21cm line corresponding to parallel spins becoming antiparallel (low probability)
- We can measure Doppler shifts (to get the line-of-sight velocity) for various longitudes  $l$ ; plotting  $v_{\text{los}}$  against  $l$  gives the **rotation curve**

• Assuming that  $\Omega(R) = \frac{v}{R}$  decreases monotonically, the fastest gas for a given  $l$  will be the gas moving in the smallest circle

↳  $R = R_0 \sin l$

$\Rightarrow v(R) = v_R(\text{max}) + v_0 \sin l$

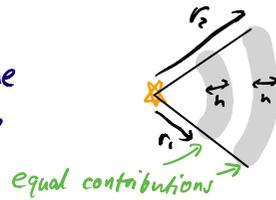


↳ hence the rotation curve is bounded by a sine curve.

- For spiral galaxies (symmetric potential), the circular velocity  $v_c(R)$  is a good measure of the contained mass:  $M(R) \approx \frac{R v_c^2}{G}$
- Frequently-used spectral lines are:
  - ↳ HI (radio) for neutral gas over large range of radii
  - ↳ H $\alpha$  (optical) for warm gas in inner regions
  - ↳ CO (mm) for the most inner regions.
- Difficulties in determining rotation curves:
  - ↳ **Beam smearing** - points have data from a range of radii so we must deconvolve
  - ↳ Intrinsic: absorption / finite thickness.
  - ↳ spiral arms are non-axisymmetric

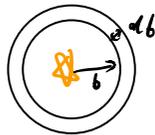
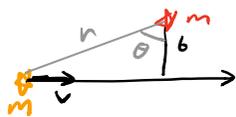
# Collisionless Systems

- Gravity is a long range force. Because of the inverse square law, a distant 'shell' of the same thickness has the same force contrib. (Unlike a gas)
- Because of the distance between stars, they almost never physically collide.
- A **collisionless system** is one in which it is a good approx to smooth stars into a mean density  $\bar{\rho} \rightarrow \bar{\Phi} \rightarrow$  orbits.



## Relaxation time

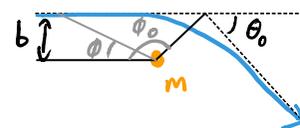
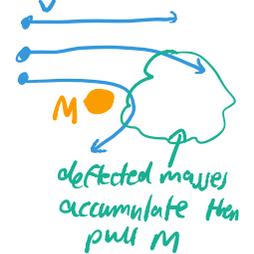
- The validity of the collisionless approx can be tested by comparing a star's path under a smooth mass dist vs the real path with point stars.
- The **impulse approximation** gives the vertical velocity change as a result of interaction:
  - $F_y = \frac{Gm^2 b}{(x^2 + b^2)^{3/2}}, x = vt.$
  - $\Delta v_y = \int_{-\infty}^{\infty} F_y(t) dt = \frac{Gm}{bv} \int_{-\infty}^{\infty} (1 + s^2)^{-3/2} ds$
  - $s = \tan \theta \Rightarrow \Delta v_y = \frac{2Gm}{bv}.$
- The total number of such interactions is the surface density  $\times$  the area of band  $db$ .
  - $\Delta n = \frac{N}{\pi R^2} \cdot 2\pi b db$ , where  $R$  is the size of the system (eg galaxy),  $N$  is num. stars.
  - by symmetry, the velocity interactions cancel so  $\Delta v_{\perp} = 0$ , but  $\Delta v_{\parallel}^2 \neq 0$



- The total change in  $v_{\perp}^2$  is:  $\Delta v_{\perp}^2 = \int_{b_{min}}^R 8N \left(\frac{Gm}{Rv}\right)^2 \frac{db}{b}.$ 
  - $b_{min}$  is the expected closest approach  $\frac{N}{\pi R^2} (\pi b_{min}^2) = 1 \leftarrow 1 \text{ per crossing, very approx.}$
  - $\Delta v_{\perp}^2 \approx 8N \left(\frac{Gm}{Rv}\right)^2 \ln \Lambda, \Lambda = R/b_{min}$  after a crossing.
- Collisionless approx valid when  $\Delta v_{\perp}/v \ll 1$ ; can be shown that this holds for  $b_{min}$ .
- The **relaxation time** is the time over which interactions erase memory of the star's initial motion (i.e collisionless approx fails).
  - $N_{relax} \Delta v_{\perp}^2 \sim v^2$  for memory loss
  - $v^2 \approx \frac{GM}{R}$  (circular)  $\Rightarrow N_{relax} \sim \frac{N}{8 \ln \Lambda} \sim \frac{N}{8 \ln N}$
  - $t_{relax} = N_{relax} \times t_{cross} \approx N_{relax} \frac{R}{v}$
  - collisionless systems have  $t \ll t_{relax}$ . True for galaxies but not globular clusters (hence spherical).

## Gravitational drag

- The impulse approx. assumes only a vertical impulse, but in reality both  $v_{\perp}$  and  $v_{\parallel}$  change - **dynamical friction**.
- Consider the COM frame of a large mass  $M$  moving at speed  $v$  past smaller masses  $m$ .
- The deflection can be treated as a Keplerian hyperbolic orbit of  $m$  about  $M$ .



$$\frac{1}{r} = \cos(\phi - \phi_0) + \frac{GM}{h^2}$$

↳ the angle  $\phi_0$  of closest approach can be found by considering  $\phi \rightarrow 0$  (i.e.  $r \rightarrow \infty$ ):  $\frac{dr}{dt} \rightarrow -v \Rightarrow -v = Cr^2 \dot{\phi} \sin(\phi - \phi_0)$   
 $\Rightarrow -v = Cbv \sin(-\phi_0)$

$$r \rightarrow \infty \Rightarrow 0 = \cos \phi_0 + \frac{GM}{bv^2} \Rightarrow \tan \phi_0 = -bv^2/GM$$

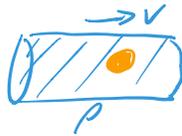
↳ the deflection angle  $\theta_0 = 2\phi_0 - \pi$

$$\Rightarrow \tan\left(\frac{\theta_0}{2}\right) = \frac{GM}{bv^2}$$

↳  $\theta_0 = \frac{\pi}{2}$  if  $b \perp \sim \frac{GM}{v^2}$

• To estimate drag, assume all stars within cylinder lose their momentum:  $M \frac{dv}{dt} = -\pi b \perp^2 \rho v \cdot v$

$$\Rightarrow \frac{dv}{dt} = -\pi \rho \frac{G^2 M}{v^2} \leftarrow \text{dynamical friction.}$$



↳ this assumes  $v$  much greater than the velocity dispersion of particles in the background.

↳  $F_{\text{fric}} \propto M^2$  so wake mass  $\propto M$

↳  $F \propto \frac{1}{v^2}$ , so drag more relevant for slower bodies.

## The Collisionless Boltzmann equation

- Model a system with  $N$  particles of mass  $m$  ( $N$  large) moving under a smooth potential  $\Phi(x, t)$
- Consider the prob. of finding a star at a particular point in space with a particular velocity - i.e. located in 6D phase space  
 ↳ the full state of the system is specified by the distribution function (i.e. PDF)  $f(x, v, t)$

$$\int f(x, v, t) dx^3 dv^3 = N \quad (\text{or can normalise to } = 1).$$

- Phase space coordinates can be written as  $\underline{w} \equiv (x, v) \equiv (w_1, w_2, \dots, w_6)$

↳ the velocity of phase space flow is  $\dot{\underline{w}} = (\dot{x}, \dot{v}) = (v, -\nabla \Phi)$

↳ any flow must conserve the number of stars (or probability)

↳ continuity in  $\mathbb{R}^3$ :  $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho v) = 0$

↳ continuity in phase space:  $\frac{\partial f}{\partial t} + \nabla_{\underline{w}} \cdot (f \dot{\underline{w}}) = 0$

↳  $\nabla_{\underline{w}} \cdot (f \dot{\underline{w}}) = \frac{\partial (f \dot{w}_i)}{\partial w_i} = \dot{w}_i \frac{\partial f}{\partial w_i} + f \frac{\partial \dot{w}_i}{\partial w_i}$

↳ but  $\frac{\partial \dot{w}_i}{\partial w_i} = 0$  because  $\dot{x}_i = v_i$  indep. of  $x_i$  and

$\dot{v}_i = \frac{\partial \Phi}{\partial x_i}$  indep. of  $v_i$ .

$$\Rightarrow \frac{\partial f}{\partial t} + \sum_{i=1}^6 \dot{w}_i \frac{\partial f}{\partial w_i} = 0 \quad \leftarrow \text{can use in other coordinate systems}$$

↳ can separate out  $x$  and  $v$  terms to give the

Collisionless Boltzmann equation (CBE)

$$\frac{\partial f}{\partial t} + v \cdot \nabla f - \nabla \Phi \cdot \nabla_v f = 0$$

\* Define  $\frac{df}{dt} \equiv \frac{\partial f}{\partial t} + \dot{w}_i \frac{\partial f}{\partial w_i}$  (with sum), so CBE is  $\frac{df}{dt} = 0$

↳ this is known as Liouville's theorem

↳ i.e. phase space flow is incompressible (phase-space density conserved)

- Because stars are born and die, phase-space density is not actually conserved:  $\frac{df}{dt} = B(x, v, t) - D(x, v, t)$  where  $B, D$  are birth/death rates.

↳ acceptable to use CBE when the frac. change in num.

$$\text{stars per crossing time is small: } \gamma = \left| \frac{B - D}{f / t_{\text{cross}}} \right| \ll 1$$

- In reality, we don't know the distr. function  $f$ .

↳ the num. density of stars at location  $\underline{x}$  can be found by integrating out velocities:  $\nu(\underline{x}) \equiv \int f(\underline{x}, \underline{v}) d^3 \underline{v}$

↳ the pdf of stellar velocities at  $\underline{x}$  is  $P_{\underline{x}}(\underline{v}) = \frac{f(\underline{x}, \underline{v})}{\nu(\underline{x})}$

↳ we can only measure  $v_{||}$  to our line of sight  $\underline{\xi}$  ( $v_{||} = \underline{\xi} \cdot \underline{v}$ ), and tangential positions  $\underline{x}_{\perp} = \underline{x} - x_{||} \underline{\xi}$

## The Jeans Equations

- Hard to solve the CBE. We can get useful results by finding moments of the CBE (integrating over velocities), giving the **Jeans equations**

- Zeroth moment returns the continuity equation:

$$\frac{\partial}{\partial t} \int f d^3 \underline{v} + \int v_i \frac{\partial f}{\partial x_i} d^3 \underline{v} - \frac{\partial \Phi}{\partial x_i} \int \frac{\partial f}{\partial v_i} d^3 \underline{v} = 0.$$

$$\textcircled{1} = \frac{\partial \nu}{\partial t}, \text{ i.e. time derivative of number density}$$

$$\textcircled{2} = \int \frac{\partial}{\partial x_i} (v_i f) d^3 \underline{v} = \frac{\partial}{\partial x_i} \int v_i f d^3 \underline{v} = \frac{\partial}{\partial x_i} (\nu \bar{v}_i)$$

$$\textcircled{3} = \frac{\partial \Phi}{\partial x_i} [f]_{-\infty}^{\infty} = 0 \text{ since } f \rightarrow 0 \text{ as } |v| \rightarrow \infty$$

$$\Rightarrow \textcircled{1} + \textcircled{2} + \textcircled{3} = \frac{\partial \nu}{\partial t} + \frac{\partial}{\partial x_i} (\nu \bar{v}_i) = 0 \leftarrow \text{Number density conserved.}$$

- First moment gives a Fluid equation

$$\frac{\partial}{\partial t} \int v_j f d^3 \underline{v} + \int v_i v_j \frac{\partial f}{\partial x_i} d^3 \underline{v} - \frac{\partial \Phi}{\partial x_i} \int v_j \frac{\partial f}{\partial v_i} d^3 \underline{v} = 0.$$

$$\textcircled{1} = \frac{\partial}{\partial t} (\nu \bar{v}_j)$$

$$\textcircled{2} = \frac{\partial}{\partial x_i} (\nu \overline{v_i v_j}) \text{ where } \overline{v_i v_j} = \frac{1}{\nu} \int v_i v_j f d^3 \underline{v}$$

$$\textcircled{3} = [f v_j]_{-\infty}^{\infty} - \int \frac{\partial v_j}{\partial v_i} f d^3 \underline{v} = -\delta_{ij} \nu$$

↳ combine terms and subtract  $\bar{v}_j \times$  (zeroth order Jeans)

$$\nu \frac{\partial \bar{v}_j}{\partial t} - \bar{v}_j \frac{\partial}{\partial x_i} (\nu \bar{v}_i) + \frac{\partial}{\partial x_i} (\nu \overline{v_i v_j}) = -\nu \frac{\partial \Phi}{\partial x_j}$$

↳ define the 'covariance'  $\sigma_{ij}^2 = E((v_i - \bar{v}_i)(v_j - \bar{v}_j)) = \overline{v_i v_j} - \bar{v}_i \bar{v}_j$

$$\nu \frac{\partial \bar{v}_j}{\partial t} + \nu \bar{v}_i \frac{\partial \bar{v}_j}{\partial x_i} = -\nu \frac{\partial \Phi}{\partial x_j} - \frac{\partial}{\partial x_i} (\nu \sigma_{ij}^2)$$

↳ similar to the fluid equation

$$\rho \frac{\partial \underline{u}}{\partial t} + \rho (\underline{u} \cdot \nabla) \underline{u} = -\rho \nabla \Phi - \nabla p$$

- $\sigma_{ij}^2$  acts like a stress tensor - symmetric so can be diagonalised, with principle components defining a **velocity ellipsoid**
- The Jeans equations are underdetermined: 9 unknowns (3 for  $\bar{v}$  and 6 for  $\sigma_{ij}^2$ ) but only 4 equations.
- To proceed, make simplifying assumptions:

↳ steady state:  $\frac{\partial}{\partial t} = 0$

↳ isotropic:  $\sigma_{ij}^2 = \sigma^2(r) \delta_{ij}$

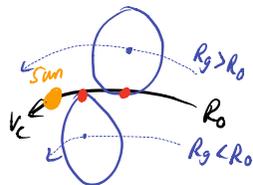
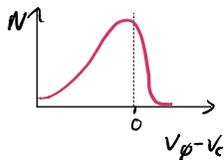
↳ non-rotating:  $\bar{v}_i = 0$

$$\Rightarrow -\nu \nabla \Phi = \nabla (\nu \sigma^2)$$

- If we know  $v(r) \Rightarrow \rho(r) = mrv(r) \rightarrow$  find  $\Phi$  from Poisson  $\rightarrow$  solve for  $\sigma^2(r)$  using Jeans
  - $\hookrightarrow$  so assuming isotropy, the density dist. gives a consistent model for the velocity structure of the system.
- For axisymmetric systems, use cylindrical polars with  $\partial/\partial\phi = 0$ . CBE becomes:
 
$$\frac{\partial f}{\partial t} + v_R \frac{\partial f}{\partial R} + v_z \frac{\partial f}{\partial z} + \left( \frac{v_\phi^2}{r} - \frac{\partial \Phi}{\partial r} \right) \frac{\partial f}{\partial v_R} - \frac{1}{R} v_R v_\phi \frac{\partial f}{\partial v_\phi} - \frac{\partial \Phi}{\partial z} \frac{\partial f}{\partial v_z} = 0$$
  - $\hookrightarrow$  can take moments as before, e.g.  $0^{\text{th}}$  moment Jeans eq:
 
$$\frac{\partial v}{\partial t} + \frac{1}{R} \frac{\partial}{\partial R} (Rv\bar{v}_R) + \frac{\partial}{\partial z} (v\bar{v}_z) = 0$$
    - $\hookrightarrow$  the axisymmetric Jeans eqs explain several galactic phenomena.

### Asymmetric drift

- Stars at solar radius tend to lag behind
  - $\hookrightarrow$  i.e.  $v_\phi < v_c$  on average
  - $\hookrightarrow$  lag increases with stellar age, suggesting it is a phenomenon that accumulates over time.
- This happens because stars are moving on epicycles with guiding centres at  $R = R_g$ . Let  $\tilde{v}_\phi = v_\phi - v_c$ :
  - $\hookrightarrow$  stars with  $R_g < R_0$  have less angular momentum, so have a lag  $\tilde{v}_\phi < 0$



- $\hookrightarrow$  because surface density declines exponentially, there are more stars with  $R_g < R_0$ , explaining the skew to  $\tilde{v}_\phi < 0$
- $\hookrightarrow$  also, velocity dispersion declines with  $R$ , so for  $R_g < R_0$  there are more epicycles that intersect  $R = R_0$ .
- Let  $v_a \equiv v_c - \bar{v}_\phi$  be the overall asymmetric drift. We can get an expression using the axisymmetric Jeans eq:
 
$$\frac{\partial (v\bar{v}_R)}{\partial t} + \frac{\partial (v\bar{v}_R^2)}{\partial R} + \frac{\partial (v\bar{v}_R\bar{v}_z)}{\partial z} + v \left( \frac{v_R^2 - \bar{v}_\phi^2}{R} + \frac{\partial \Phi}{\partial R} \right) = 0$$
  - $\hookrightarrow$  steady state  $\Rightarrow \frac{\partial}{\partial t} (\dots) = 0$
  - $\hookrightarrow$  assume planar symmetry and that the sun is on the equatorial plane  $\Rightarrow z = 0, \partial/\partial z = 0$
  - $\hookrightarrow$  define  $\sigma_\phi^2 = \overline{v_\phi^2} - (\bar{v}_\phi)^2$  and simplify terms, ignoring  $v_a^2$  terms (small compared to  $v_c$ ).
  - $\hookrightarrow$  result is **Stromberg's asymmetric drift equation**:
 
$$v_a \approx \frac{\bar{v}_R^2}{2v_c} \left( \frac{\sigma_\phi^2}{\bar{v}_R^2} - 1 - \frac{\partial \ln(v\bar{v}_R^2)}{\partial \ln R} - \frac{R}{\bar{v}_R^2} \frac{\partial (v_R\bar{v}_z)}{\partial z} \right)$$
    - $\hookrightarrow$  all these terms are now observable  $\Rightarrow v_a \approx \bar{v}_R^2 / (82 \pm 6) \text{ km s}^{-1}$
- The increasing velocity dispersion over time suggests that something is heating the galactic disk:
  - $\hookrightarrow$  **MASSIVE Compact Halo Object (MACHO)** originally theorised but no longer considered: would lead to greater heating than observed
  - $\hookrightarrow$  most likely a result of galaxy's evolution, i.e. increased infall of stars into the galaxy at early times, increasing  $\sigma$  for older stars.

## Galactic mass profile

- The mass density in the solar neighbourhood can be estimated from the cylindrical Jeans equation:

$$\frac{1}{R} \frac{\partial(Rv\sqrt{v_z^2})}{\partial R} + \frac{\partial(v\sqrt{v_z^2})}{\partial z} = -v \frac{\partial\Phi}{\partial z} \quad \left. \begin{array}{l} \text{steady state} \\ \text{so } \frac{\partial}{\partial t} = 0 \end{array} \right\}$$

- ↳ density falls off much faster vertically, so neglect  $\frac{\partial}{\partial R}$  term  
 $\Rightarrow \frac{1}{v} \frac{\partial}{\partial z}(v\sqrt{v_z^2}) = -\frac{\partial\Phi}{\partial z}$

- ↳ compare with Poisson's eq, approx'd for thin disk:

$$\frac{\partial^2\Phi}{\partial z^2} = 4\pi G\rho \Rightarrow \frac{\partial}{\partial z} \frac{1}{v} \frac{\partial}{\partial z}(v\sqrt{v_z^2}) = -4\pi G\rho.$$

- ↳ hence if we had an estimate of  $v$  (does not have to be for all stars - can be e.g. G stars) and  $\sqrt{v_z^2}$ , we could estimate  $\rho$ .

- This technique gives a noisy estimate because we have to differentiate noisy data twice.

- ↳ instead we can integrate to find  $\Sigma(z)$  instead

$$\Sigma(z) = \int_{-z}^z \rho dz' = -\frac{1}{2\pi G v} \frac{\partial}{\partial z}(v\sqrt{v_z^2})$$

- ↳ more accurate because only one derivative.

- ↳ **dark matter** is needed to explain discrepancies between predicted/observed  $\Sigma(z)$ .

- For a spherical system (e.g. galactic halo), we can derive

$$\text{a Jeans equation: } \frac{1}{P_*} \frac{d(P_* \sigma_{r_*}^2)}{dr} + \frac{2\beta \sigma_{r_*}^2}{r} = -\frac{d\Phi}{dr} = -\frac{v_c^2}{r}$$

- ↳  $\beta$  is the **velocity anisotropy param.**  $\beta \equiv 1 - \frac{\sigma_\theta^2 + \sigma_\phi^2}{2\sigma_r^2} = 1 - \frac{v_\theta^2 + v_\phi^2}{2v_r^2}$

- ↳ given the radial velocity dispersion  $\sigma_{r,*}$ , stellar density  $\rho_*$ , and  $\beta(r)$ , we can uniquely determine the mass profile.

$$\text{↳ can rewrite as } M(r) = -\frac{r\sigma_{r,*}^2}{G} \left[ \frac{d \ln v}{dr} + \frac{d \ln \sigma_{r,*}^2}{dr} + 2\beta(r) \right]$$

## The Virial Theorem

- We can integrate the CBE via  $\int (\cdot) x_k d^3x$  to get a tensor relation:

- ↳ use the **Chandrasekhar PE tensor**  $W_{jk} \equiv -\int \rho(x) x_j \frac{\partial\Phi}{\partial x_k} d^3x$

- ↳ the **KE tensor** is  $K_{jk} \equiv \frac{1}{2} \int \rho v_j v_k d^3x$ , which is the sum of ordered motion and random motion:

$$K_{jk} = T_{jk} + \Pi_{jk}, \quad T_{jk} \equiv \frac{1}{2} \int \rho \bar{v}_j \bar{v}_k d^3x, \quad \Pi_{jk} \equiv \int \rho \sigma_{jk}^2 d^3x$$

- ↳ the moment of inertia tensor:  $I_{jk} \equiv \int \rho x_j x_k d^3x$

- ↳ combine to give the **tensor virial theorem**

$$\frac{1}{2} \frac{d^2 I_{jk}}{dt^2} = 2T_{jk} + \Pi_{jk} + W_{jk}$$

- The tensor virial theorem applies also to self-gravitating collisional systems in the steady state, we can use the **scalar virial theorem**:  $2K + W = 0$ ,  $K \equiv \text{trace}(T) + \frac{1}{2} \text{trace}(\Pi)$

- In a stellar system  $K = \frac{1}{2} M \langle v^2 \rangle \Rightarrow \langle v^2 \rangle = \frac{|W|}{M} = \frac{GM}{r_g}$

- ↳ this gives a simple equation for mass, but sadly  $\langle v^2 \rangle$ ,  $r_g$  are not readily observable

- ↳ we only have line of sight velocity dispersion  $\langle v_{||}^2 \rangle$

# Jeans Theorem

- The steady-state CBE is  $\underline{v} \cdot \nabla f - \nabla \Phi \cdot \frac{\partial f}{\partial \underline{v}} = 0$ , describing continuity in phase space. Orbits are paths in phase space  $(\underline{x}(t), \underline{v}(t))$
- A **constant of motion** is a function of  $\underline{x}(t), \underline{v}(t), t$  that is constant along any orbit:  $C(\underline{x}(t_1), \underline{v}(t_1), t_1) = C(\underline{x}(t_2), \underline{v}(t_2), t_2)$ 
  - ↳ initial conditions are constants of motion
  - ↳ e.g.  $x = ut + x_0$ ,  $C(x, t) = t - \frac{x}{u}$  is a constant of motion
- An **integral of motion** is a function of phase-space coordinates only that is constant along any orbit
  - ↳ stronger condition than constant of motion
  - ↳ **isolating integrals of motion** reduce the dimensionality of the orbit, constraining 6D phase space to a 5D manifold
  - ↳ energy and angular momentum are both isolating.
  - ↳ integrals of motion satisfy  $\frac{dI}{dt} = 0$ 

$$\frac{dI}{dt} = \nabla I \cdot \frac{d\underline{x}}{dt} + \frac{\partial I}{\partial \underline{v}} \cdot \frac{d\underline{v}}{dt} = 0$$

$$\Rightarrow \underline{v} \cdot \nabla I - \nabla \Phi \cdot \frac{\partial I}{\partial \underline{v}} = 0 \leftarrow \text{steady-state CBE!}$$

↑  
NO TIME

## Jeans' theorem:

- Any steady-state solution of the CBE depends on  $\underline{x}, \underline{v}$  only through integrals of motion
- Any function of integrals of motion is a solution of the steady-state CBE.

## Proof of Jeans' theorem:

- if  $f$  is a S-S solution of CBE,  $\frac{\partial f}{\partial t} = 0$  by def. So  $f$  is an integral of motion  $\Rightarrow$  only depends on other integrals
- $\frac{d}{dt} [f(I_1, I_2, \dots, I_n)] = \sum_m \frac{\partial f}{\partial I_m} \frac{dI_m}{dt} = 0$

## Self-consistent models

- Jeans' theorem:  $f(E) = f(\frac{1}{2}v^2 + \Phi(\underline{x}))$  is a solution of the CBE.
  - ↳ assuming all stars have mass  $m$
  - ↳  $\nabla^2 \Phi = 4\pi G \rho = 4\pi G m \int f(E) d^3 \underline{v}$  for a self-consistent model, i.e.  $f(E)$  is a result of  $\Phi(\underline{x})$ , but  $\Phi(\underline{x})$  due to  $f(E)$ .

## Change to relative coordinates to simplify notation:

- ↳  $\Psi = -\Phi + \Phi_0$ ,  $\mathcal{E} = -E + \Phi_0 = \Psi - \frac{1}{2}v^2$
- ↳ choose  $\Phi_0$  such that  $f > 0$  for  $\mathcal{E} > 0$ ,  $f = 0$  for  $\mathcal{E} \leq 0$ .
- ↳  $\nabla^2 \Psi = -4\pi G \rho$

## For a spherically-symmetric system, we can get $\Psi$ from $f(E)$ :

- ↳  $\frac{1}{r^2} \frac{d}{dr} (r^2 \frac{d\Psi}{dr}) = -4\pi G m \int f(E) d^3 \underline{v} = -4\pi G m \int_0^{\sqrt{2\Psi}} f(\mathcal{E}) 4\pi v^2 dv$ 

$$f \neq 0 \text{ only if } \mathcal{E} = \Psi - \frac{1}{2}v^2 > 0 \rightarrow$$
- ↳  $d\mathcal{E} = -v dv \therefore \frac{1}{r^2} \frac{d}{dr} (r^2 \frac{d\Psi}{dr}) = -16\pi^2 G m \int_0^{\Psi} f(\mathcal{E}) \sqrt{2(\Psi - \mathcal{E})} d\mathcal{E}$

## To get $f(E)$ from density,

- $\nu(\Psi(r)) = \int f d^3 \underline{v} = 4\pi \int v^2 f(\Psi - \frac{1}{2}v^2) dv = 4\pi \int_0^{\Psi} f(\mathcal{E}) \sqrt{2(\Psi - \mathcal{E})} d\mathcal{E}$
- ↳  $\frac{d\nu}{d\Psi}$  to give an Abel integral equation with solution
 
$$f(\mathcal{E}) = \frac{1}{8\pi^2} \frac{d}{d\mathcal{E}} \int_0^{\mathcal{E}} \left( \frac{d\nu}{d\Psi} \frac{d\Psi}{d\mathcal{E}} \right)$$

↳ integration by parts gives **Eddington's formula**:

$$f(\mathcal{E}) = \frac{1}{\sqrt{8}\pi^2} \left[ \int_0^{\mathcal{E}} \left( \frac{d\Psi}{d\mathcal{E}} \frac{d^2v}{d\Psi^2} \right) + \frac{1}{\sqrt{\mathcal{E}}} \left( \frac{dv}{d\Psi} \right)_{\Psi=0} \right]$$

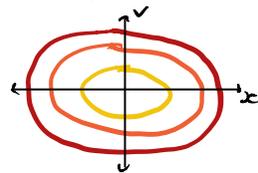
### Harmonic potential

• Inside a constant sphere, the potential is harmonic  
 $\Phi = \frac{2}{3}\pi G\rho_0(r^2 - 3r_0^2) = \frac{1}{2}\omega_0^2(x^2 + y^2 + z^2) + C$

• In 1D, this simplifies to:

$$\Phi(x) = \frac{1}{2}\omega_0^2 x^2, \quad \mathcal{E} = \frac{1}{2}v^2 + \frac{1}{2}\omega_0^2 x^2 \xrightarrow{\text{Poisson}} \rho(x) = \frac{\omega_0^2}{4\pi G} = \text{const}$$

• Harmonic potentials give ellipses in phase space:



↳ semimajor related to energy

↳  $f(\mathcal{E})$  determines how many phase space orbits of a given amplitude there are

↳ for a self-consistent system, need  $f(\mathcal{E})$  to give constant  $\rho$  up to  $x_0$  (radius of sphere),  $\rho=0$  outside.

• In relative coordinates,  $\Psi = C - \frac{1}{2}\omega_0^2 x^2, \quad \mathcal{E} = C - \frac{1}{2}\omega_0^2 x^2 - \frac{1}{2}v^2$

↳ at  $x=x_0, \mathcal{E}=0, v=0 \Rightarrow C = \frac{1}{2}\omega_0^2 x_0^2$

↳ so  $\Psi = \frac{1}{2}\omega_0^2(x_0^2 - x^2), \quad \mathcal{E} = \Psi - \frac{1}{2}v^2$

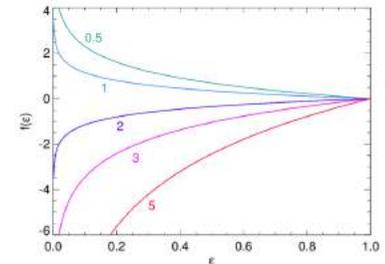
$$\rho(x) = \int_0^{\sqrt{2\Psi}} f(\mathcal{E}) dv = \int_0^{\sqrt{\omega_0^2(x_0^2 - x^2)}} f(\mathcal{E}) dv$$

↳ find  $f$  that gives constant  $\rho$  by guessing. In this case,  $f \sim \frac{1}{\sqrt{\mathcal{E}}}$

### Power law distribution functions

$$f = \begin{cases} F \mathcal{E}^{n-3/2}, & \mathcal{E} > 0 \\ \text{const} \quad 0, & \mathcal{E} \leq 0 \end{cases}$$

- As before, goal is ① get  $\rho(\Psi)$ , ②  $\Psi(r)$  from Poisson ③  $\rho(r)$



$$\textcircled{1} \rho(r) = \int_0^{\infty} f(\mathcal{E}) \cdot 4\pi v^2 dv = 4\pi F \int_0^{\sqrt{2\Psi}} (\Psi - \frac{1}{2}v^2)^{n-3/2} v^2 dv$$

↳ can parameterise  $v^2 = 2\Psi \cos^2\theta$  so that  $\theta \rightarrow 0$  gives  $v \rightarrow \sqrt{2\Psi}$ ,  $\theta \rightarrow \frac{\pi}{2}$  gives  $v \rightarrow 0$ .

↳ this gives  $\rho(r) = C_n \Psi^n, \quad C_n = \frac{(2\pi)^{3/2} \Gamma(n-1/2) \cdot F}{\Gamma(n+1)}$

$$\textcircled{2} \text{ Sub into Poisson's equation: } \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d\Psi}{dr} \right) = -4\pi G C_n \Psi$$

↳ rescale for convenience:

$$s = r \sqrt{4\pi G C_n \Psi_0^{n-1}}, \quad \psi = \Psi / \Psi_0$$

↳ gives the **Lane-Emden equation** (also used in stars)

$$\frac{1}{s^2} \frac{d}{ds} \left( s^2 \frac{d\psi}{ds} \right) = \begin{cases} -\psi^n, & \psi > 0 \\ 0, & \psi \leq 0 \end{cases} \quad \leftarrow \text{has analytic solutions for } n=0, 1, 5$$

↳  $r=0, \Psi=\Psi_0 \Rightarrow s=0, \psi=1$

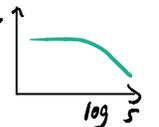
↳  $\frac{d\Psi}{dr}|_{r=0} = 0$  (no grav. force)  $\Rightarrow \frac{d\psi}{ds}|_{s=0} = 0$

↳ need  $n > 1/2$  to avoid poles of  $\Gamma(n-1/2)$  in  $C_n$

↳ for  $n=5$ , this is solved by the **Plummer potential**  $\log \psi$

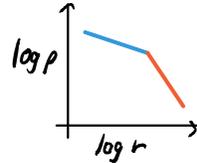
$$\psi = \left( 1 + \frac{1}{3}s^2 \right)^{-1/2}$$

$$\textcircled{3} \text{ The Plummer potential results in } \rho = C_5 \Psi^5 = \frac{C_5 \Psi_0}{\left( 1 + \frac{1}{3}s^2 \right)^{5/2}}$$

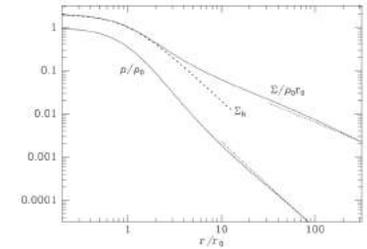


- Density in the Plummer potential extends to infinity, but the mass is finite.
  - ↳ good model for globular clusters and dwarf spheroidal galaxies
  - ↳ not good for elliptical galaxies; drops off too fast.
- To account for dark matter, we may consider two-power law density models, where

$$\rho(r) = \frac{\rho_0}{(r/a)^\alpha (1+r/a)^\beta}, \text{ e.g. } \alpha=1, \beta=4$$



- We can instead solve Poisson's eq with a B.C.  $\Psi \rightarrow \text{const}$  as  $r \rightarrow 0$  (and  $\frac{d\Psi}{dr} = 0$ ) to avoid a singularity.
  - ↳ this must be solved numerically.
  - ↳ for large  $r$ ,  $\rho \propto r^{-2}$  so the mass still diverges (as does  $v_{\text{esc}}$ )



### Isothermal sphere

- We can model a galaxy as an isothermal sphere, i.e. the velocity dispersion  $\sigma^2(r)$  is constant.
- We use a Maxwellian distribution function
 
$$\text{const } f(E) = \frac{P_1}{(2\pi\sigma^2)^{3/2}} \exp\left(\frac{\Psi(r) - \frac{1}{2}v^2}{\sigma^2}\right)$$
  - ↳  $\rho(r) = \int_0^\infty f(v) \cdot 4\pi v^2 dv = \rho_1 \exp(\Psi/\sigma^2)$
  - ↳ sub into Poisson  $\frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \ln \rho \right) = -\frac{4\pi G}{\sigma^2} \rho$  } using  $\Psi(r)$
  - ↳ one solution is the singular isothermal sphere  $\rho(r) = \frac{\sigma^2}{2\pi G r^2}$
- Singular because  $\rho \rightarrow \infty$  as  $r \rightarrow 0$ . Also has infinite mass as  $r \rightarrow \infty$ , which is clearly unrealistic.
- Corresponds to a surface density  $\Sigma(R) = \frac{\sigma^2}{2GR}$  and a potential  $\Phi(r) = 2\sigma^2 \ln r + C$

# Star Clusters

- Globular clusters are near-spherical groups of stars as old as their galaxy.
- There are  $10^2 - 10^3$  globular clusters in a galaxy

## King Models

- The isothermal sphere is a reasonable model at small radii but overestimates density at large radii: weakly bound stars tend to escape.
- We can truncate the Gaussian to give the King models:

$$f(\epsilon) = \begin{cases} \rho_0 (2\pi\sigma^2)^{-3/2} (e^{\epsilon/\sigma^2} - 1), & \epsilon > 0 \\ 0, & \epsilon \leq 0 \end{cases}$$

- Density and potential found with the usual procedure:

$$\rho(\Psi) = \int_0^{\sqrt{2\Psi}} f(\epsilon) \cdot 4\pi v^2 dv \rightarrow \frac{d}{dr} \left( r^2 \frac{d\Psi}{dr} \right) = -4\pi G r^2 \rho(\Psi)$$

↳ solve numerically. 2 free params:  $\sigma^2$ ,  $\Psi(r=0)$

↳ as  $r \uparrow$  from 0,  $\Psi(r) \downarrow$  because  $\frac{d^2\Psi}{dr^2} < 0$

↳ as  $\Psi \rightarrow 0$ , the range  $[0, \sqrt{2\Psi}]$  shrinks so  $\rho \rightarrow 0$  at the

tidal radius  $r_t$

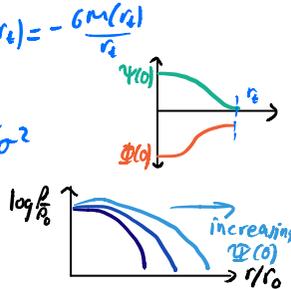
- There is finite mass within the tidal radius so  $\Phi(r_t) = -\frac{GM(r_t)}{r_t}$

$$\Phi(0) = \Phi(r_t) - \Psi(0) \Rightarrow \Psi = -\Phi + \text{const}$$

↳ results in family of models parameterised by  $\Psi(0)/\sigma^2$

↳ alternatively can use the concentration:

$$c = \log_{10} \left( \frac{r_t}{r_0} \right), \quad r_0 = \sqrt{\frac{9\sigma^2}{4\pi G \rho_0}}$$



## Anisotropic velocity distributions

- Thus far we have used energy as an integral of motion.
- To describe systems with anisotropic velocity distributions, we must use angular momentum  $L^2 = r^2 (v_\theta^2 + v_\phi^2) = r^2 v_l^2$ :  
 ↳ distribution function  $f \equiv f(\epsilon, L^2)$ ,  $v_\theta^2 = v_\phi^2 \neq v_r^2$   
 ↳ we can modify isothermal models using  $\epsilon := \epsilon - \frac{L^2}{2r_a^2}$ , where

$r_a$  is some scale radius.

$$\begin{aligned} \langle v_\theta^2 \rangle = \langle v_\phi^2 \rangle &= \frac{\iiint_{-\infty}^{\infty} v_\theta^2 f(\epsilon, L) dv_r dv_\theta dv_\phi}{\iiint_{-\infty}^{\infty} f(\epsilon, L) dv_r dv_\theta dv_\phi} \\ \Rightarrow \frac{\langle v_\theta^2 \rangle}{\langle v_r^2 \rangle} &= \frac{1}{1 + r^2/r_a^2} \end{aligned}$$

↳ this model is isotropic at small radii, but anisotropic for  $r^2 \gg r_a^2$

- King models can be generalised to give Michie models:

$$f_M(\epsilon, L) = \begin{cases} \rho_0 (2\pi\sigma^2)^{-3/2} \exp\left(-\frac{L^2}{2r_a^2\sigma^2}\right) (e^{\epsilon/\sigma^2} - 1), & \epsilon > 0 \\ 0, & \epsilon \leq 0 \end{cases}$$

↳ transition from isotropy  $\rightarrow$  anisotropy at  $r \approx r_a$

↳ real clusters show similar behaviour due to collisional effects.

## Cluster evolution

- Modelling collisional effects in clusters requires computational methods.
- The Fokker-Planck equation relaxes the CBE to account for changes in phase space density due to interactions:  
 $\frac{df}{dt} = 0 \rightarrow \frac{df}{dt} = \Gamma(f)$ , where  $\Gamma(f)$  is the probability of scattering in phase space. ← fast, but hard to find  $\Gamma(f)$

- Alternatively, we can directly simulate  $N$ -body systems:
  - ↳ can include all kinds of phenomena, e.g. stellar evolution, binaries etc
  - ↳ problem is computational complexity:  $O(N^2)$  to calculate forces in each timestep.
  - ↳ make progress with fast computers (GPUs) and numerical approxes.
- For open clusters and the cores of globular clusters, the relaxation time  $\ll$  age, so we must consider stellar encounters.

### Effects of stellar encounters

- Relaxation**: increase in entropy by energy transfer
  - ↳ transfer from 'hot'  $\rightarrow$  'cold', where 'hot' means high vel. dispersion
  - ↳ core loses energy to halo, so it must contract. By the virial thm,  $M\langle v^2 \rangle \approx \frac{6M}{R^2}$  so  $R \downarrow \Rightarrow \langle v^2 \rangle \uparrow$
  - ↳ core gets 'hotter' as it loses energy  $\Rightarrow$  negative heat capacity
  - ↳ no equilibrium; core continues to get hotter/denser.
- Stellar escape**: cluster evaporation because finite  $v_{esc}$ 
  - ↳  $v_{esc}^2(r) = -2\Phi(r)$
  - $\Rightarrow \langle v_{esc}^2 \rangle = \frac{1}{M} \int \rho(r) v_{esc}^2(r) d^3r = -\frac{2}{M} \int \rho(r) \Phi(r) d^3r$
  - $= -\frac{4\Omega}{M}$  where  $\Omega$  is the self-energy energy to assemble masses  $\rho(r)$
  - ↳ by the virial thm,  $-\Omega = 2T = M\langle v^2 \rangle \Rightarrow \langle v_{esc}^2 \rangle = 4\langle v^2 \rangle$
  - ↳  $\epsilon$  is the fraction of particles with  $v_{rms} > v_{esc}$ ,  $\sim 10^{-2}$  for M-B.
  - ↳ evaporation removes  $\sim \epsilon N$  stars on timescale  $t_{relax}$
  - $\frac{dN}{dt} \approx -\frac{\epsilon N}{t_{relax}} = -\frac{N}{t_{evap}} \Rightarrow t_{evap} = \epsilon^{-1} t_{relax} \sim 10^2 t_{relax}$

### 3. Core collapse

- ↳ escaping stars 'just' escape, so cluster evolves at constant energy
- ↳  $E = -k_6 M^2 / R \Rightarrow R \propto M^2 \Rightarrow \rho \propto \frac{M}{R^3} \propto M^{-5}$ . Hence as mass is lost,  $R \rightarrow 0$  and  $\rho \rightarrow \infty$
- ↳ because of the negative heat capacity of the core, there is a runaway gravothermal catastrophe (core collapse)
- ↳ in reality, as  $\rho \uparrow$ , binaries form  $\rightarrow$  heat source:  $K_1 + K_2 + K_3 = K_6 + E_6 + K_3'$ ,  $E_6 < 0 \Rightarrow K_6 + K_3' > K_1 + K_2 + K_3$

### 4. Mass segregation

- ↳ stars have different masses and segregate
- ↳ stars will have same avg KE so  $\langle v^2 \rangle \propto m^{-1}$
- ↳ heavier stars sink to centre; lighter stars  $\rightarrow$  halo.

### 5. Tidal stripping

- ↳ the tidal force is  $F_t = \frac{GM_c}{R_0^3} - \frac{GM_0}{(R_0+r)^2} \approx \frac{2GM_c}{R_0^3} r$
- ↳ at the tidal radius,  $F_t$  is balanced by attraction to the cluster:  $r_t = \left(\frac{m_c}{2M_0}\right)^{1/3} R_0$



### 6. Binary encounters

- ↳ for soft (wide) binaries, star #3 is likely travelling faster so transfers energy to the binary: soft binaries get softer, dissolving when  $E_6 \geq 0$
- ↳ hard binaries cause strong focussing of #3. An unstable triple forms, eventually ejecting a star.  $E_6 \downarrow$ , so hard binaries get harder.
- ↳ Heggie's law: soft  $\rightarrow$  softer, hard  $\rightarrow$  harder.
- ↳ can extract up to  $\frac{GM^2}{2R}$  from a binary: only  $\sim 100$  needed to disrupt cluster.

## 7. Binary formation: inelastic collisions

↳ dynamical capture results from the interaction of 3 stars in a region  $\sim \frac{GM}{\langle v^2 \rangle} \sim 10 \text{ au}$  (rare)

↳ tidal capture is when two passing stars create tides in others' envelopes, dissipating energy. This may result in  $E < 0 \Rightarrow$  capture

8. Other processes, e.g. stellar evolution  $\Rightarrow$  mass loss due to stellar winds