

# Graphs

17/4/19

- Defined by  $g = (V, E)$ , i.e. a set of vertices and edges
- If **directed**, edges are  $v_1 \rightarrow v_2$
- If **undirected**, edges are  $v_1 \leftrightarrow v_2$
- A **path** is a sequence of vertices connected by edges:  $v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$   
or  $v_1 \leftrightarrow v_2 \leftrightarrow \dots \leftrightarrow v_k$
- An undirected graph is **connected** if there is a path between any two vertices
  - ↳ a **forest** is an undirected acyclic graph
  - ↳ a **tree** is a connected forest.
- Graphs can be represented as:
  - (1) **adjacency lists**, i.e. an array of linked lists containing neighbours
  - (2) **adjacency matrix**. Requires  $O(V^2)$  space.↳ choose depending on sparsity. If  $E/V^2$  is large, use matrix.

## Depth-first search

- Visits all vertices reachable from a starting vertex, by recursively searching neighbours (but marking visited to prevent loops).
- Can be implemented with a stack

def dfs( $g, s$ ):

for  $g^V$  in  $g$ .vertices:  $\left. \begin{array}{l} v.\text{visited} = \text{False} \end{array} \right\} O(V)$

toexplore = Stack( $s$ )

$s$ .visited = True

while not toexplore.empty():

$v = \text{toexplore.pop}()$

for  $w$  in  $v$ .neighbours:

if not  $w$ .~~seen~~<sup>visited</sup>:

toexplore.push( $w$ )

or  $w$ .visited = True

← no vertex can be pushed more than once  $\therefore O(V)$

} run once per edge  $\therefore O(E)$ .

- Thus DFS is  $O(V+E)$ , using aggregate analysis.

- The code for a breadth-first search is identical, except a queue is used rather than a stack.

## Dijkstra's Algorithm

- For a graph whose edges have positive weights, Dijkstra's algo allows us to find the shortest ~~minimum weight~~ path.
  - Similar to BFS, except we use a priority queue to store frontier vertices, and greedily choose the nearest one.
    - ↳ if we see a vertex that has already been visited, we update its distance and its position in the PQ.
  - Once an item is popped, its distance is the <sup>true</sup> minimum distance and it never gets added back to the PQ
  - So each vertex called `popmin()`, and we had to push/decreasekey for each edge.
    - ↳ using Fibonacci heap: `popmin()` is  $O(\lg n)$ , push/decreasekey  $O(1)$
- ∴ Dijkstra runtime is  $O(E + V \log V)$

### Proof of correctness (by contradiction):

- Let  $v$  be the first vertex for which after `popmin()`,  $v$ .distance is not the true shortest distance.
- Let a shortest path from  $s$  to  $v$  be  $s = v_1 \rightarrow \dots \rightarrow v_k = v$
- Let  $u_i$  be the first vertex that has not been popped.

$$\begin{aligned}
 \text{distance}(s \text{ to } v) &< v.\text{distance} \\
 &\leq u_i.\text{distance} \\
 &\leq u_{i-1}.\text{distance} + \text{cost}(u_{i-1} \rightarrow u_i) \\
 &= \text{distance}(s \text{ to } u_{i-1}) + \text{cost}(u_{i-1} \rightarrow u_i) \\
 &\leq \text{distance}(s \text{ to } v).
 \end{aligned}$$

Contradiction ∴  $v$ .distance is the true shortest distance.

- Thus it cannot be pushed back into the PQ
- And thus the algo must terminate

# The Bellman equation

- Let vertices represent states and edges represent actions. The goal is to find the best sequence of actions.
- Uses different terminology to Dijkstra (more general)
  - cost  $\rightarrow$  weight
  - distance  $\rightarrow$  minweight
  - shortest path  $\rightarrow$  minimal weight path.
- e.g finding the best FX rate, with weight =  $-\ln(\text{exchange rate})$ .
- Same as dynamic programming:

$$W_{ij} = \begin{cases} 0, & i = j \\ \text{weight}(i \rightarrow j), & \text{if there is an edge} \\ \infty & \text{otherwise.} \end{cases}$$

$\leftarrow$  minweight action to go from state  $i$  to  $j$

$M_{ij}^{(l)}$  is the minimal weight path from  $i$  to  $j$  in  $l$  steps.

$$M_{ij}^{(1)} = W_{ij} \quad M_{ij}^{(l)} = \min_k \{ W_{ik} + M_{kj}^{(l-1)} \}$$

NB: Assumes no negative weight cycles.

$\uparrow$  i.e make an intermediate jump then choose best path.

- Can be reformulated as 'matrix multiplication':

$$M_{ij}^{(l)} = (W_{i1} + M_{1j}^{(l-1)}) \wedge (W_{i2} + M_{2j}^{(l-1)}) \wedge \dots \wedge (W_{in} + M_{nj}^{(l-1)})$$

where  $x \wedge y \equiv \min(x, y)$ ,  $n \equiv |V|$ .

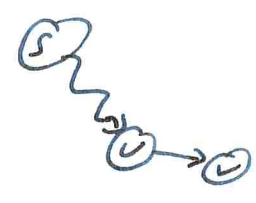
$\rightarrow$  the minimal weight path must have  $< n$  edges because we assumed no negative weight cycles

$\rightarrow$  requires  $\log V$  matrix multiplications,  $\therefore \mathcal{O}(V^3 \log V)$

- This is a brute-force algo and often cannot be used.



## Bellman-Ford



• If we have a path from  $s$  to  $u$  and edge  $u \rightarrow v$ , we can update  $v$ .minweight as follows:

if  $v$ .minweight  $>$   $u$ .minweight + weight( $u \rightarrow v$ ):  
 $v$ .minweight =  $u$ .minweight + weight( $u \rightarrow v$ ). } 'relaxing'  $u \rightarrow v$

• Bellman-Ford uses this to find the minimal weight path, by relaxing each edge of the graph in  $V-1$  rounds

↳ advantage over Dijkstra is that it works for graphs with negative weights, and can detect negative cycles.

negative cycle  $\Leftrightarrow$  graph changes in  $V^{\text{th}}$  relaxation round.

↳ runtime  $O(VE)$

Proof of correctness (induction):

• Consider the minimal-weight path from  $s$  to some  $v$ :

$$s = v_0 \rightarrow v_1 \rightarrow \dots \rightarrow v_k = v$$

• Initially,  $v_0$ .minweight = 0

• After one relaxation round,  $v_1$ .minweight is correct because we are on a minimal-weight path. Proceed by induction.

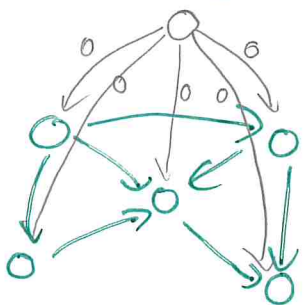
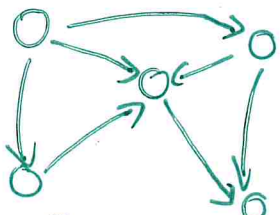
• At most  $|V|-1$  edges, hence at most  $|V|-1$  iterations.

• If the graph has a negative-weight cycle, an exception will be thrown.

# Johnson's algorithm

- Used to find all shortest paths between all pairs of vertices
  - ↳ needed to calculate **betweenness centrality** - number of ~~per~~ shortest paths that use a given edge.
- We could run Dijkstra for each vertex, to in  $O(VE + V^2 \log V)$ , but this fails for negative weights.
- Using Bellman-Ford for each vertex would be  $O(V^2E)$ .
- Johnson's algo uses BF then Dijkstra
  - ↳ build a **helper graph** with a new node  $s$ , with  $d_v \equiv w(s \rightarrow v)$

~~(the)~~  $\uparrow$   
min weight from  $s \rightarrow v$



- ↳ ~~But~~ run BF on this graph to look for neg. weight cycles.
- ↳ recreate the original graph with weights:  $w'(u \rightarrow v) = d_u + w(u \rightarrow v) - d_v$ 
  - ↳  $w'(u \rightarrow v) \geq 0$  by relaxation
- ↳ run Dijkstra on every vertex.
- ↳ total runtime  $O(VE + V^2 \log V)$

## Proof of correctness:

- The minweight path using  $w'$  instead of  $w$  is the same. Consider some path  $U_0 \rightarrow \dots \rightarrow U_k$


~~original~~ <sup>new</sup> weights:  $w'(U_0 \rightarrow U_1) + w'(U_1 \rightarrow U_2) + \dots + w'(U_{k-1} \rightarrow U_k)$

$$= d_{U_0} + w(U_0 \rightarrow U_1) - d_{U_1} + d_{U_1} + w(U_1 \rightarrow U_2) - d_{U_2} + \dots + w(U_{k-1} \rightarrow U_k) - d_{U_k}$$

i.e telescoping sum

$\therefore$  weight of path using  $w'$  = weight of path using old  $w$  -  $d_{U_0} + d_{U_k}$

# Topological sort

- A **directed acyclic graph (DAG)** can always be used to represent a total ordering, such that if  $v_1 \rightarrow v_2$ , then  $v_1$  is before  $v_2$  in the total order.
- The algorithm is based on a recursive DFS, adding  $v$  to the total order when  $\text{visit}(v)$  returns.
- We can use a **breakpoint proof** of correctness:
  - nodes are initially white
  - grey once visited
  - black once it has been added to the total order.
- Consider an edge  $v_1 \rightarrow v_2$ , and we have just entered  $\text{visit}(v_1)$ , i.e.  $v_1$  is grey.
  - if  $v_2$  is black, it is already in the list, so prepending  $v_1$  will be correct
  - if  $v_2$  is white,  $\text{visit}(v_2)$  will be called, so  $v_2$  will be prepended before  $v_1$ , Thus  $v_1$  will be before  $v_2$
  - if  $v_2$  is grey, it means we are inside  $\text{visit}(v_2)$  when we called  $\text{visit}(v_1)$ , implying  $v_2 \rightarrow v_1$ . But we are in a DAG, so this contradicts  $v_1 \rightarrow v_2$ .  
  
 $\hookrightarrow v_2$  cannot be grey.



# Minimum Spanning trees

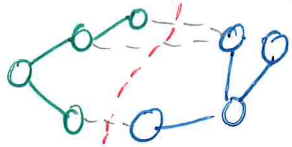
- Given a connected weighted undirected graph, the MST connects all vertices and has minimal weight.

## Prim's algorithm

- Greedily builds an MST by choosing the lowest weight connector to a frontier vertex
- Very similar to Dijkstra, except:
  - need to keep track of the tree
  - we want 'distance from tree' instead of 'distance from start'.
- Runtime is the same as Dijkstra, i.e.  $O(E + V \log V)$ .

## Kruskal's algorithm

- Builds an MST by agglomerating smaller subtrees greedily.
- Edges need to be sorted by weight: runtime is  $O(E \log E)$
- Despite worse runtime than Prim's, Kruskal has useful intermediate states and can be used to build clusters.
- Can be proved by considering two minimal spanning subtrees



← Kruskal picks the min weight across the cut, so if  $T_1$  and  $T_2$  are MSTs within their partition, the result will also be an MST.